

27 SEP 1992

For Six Month Period Ending _____
(Insert date)

Name of Registrant Ruder.Finn

Registration No. 1481

Business Address of Registrant

301 East 57th Street
New York, NY 10022

I—REGISTRANT

1. Has there been a change in the information previously furnished in connection with the following:

(a) If an individual:

- | | | |
|-----------------------|------------------------------|-----------------------------|
| (1) Residence address | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (2) Citizenship | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (3) Occupation | Yes <input type="checkbox"/> | No <input type="checkbox"/> |

(b) If an organization:

- | | | |
|--------------------------|------------------------------|--|
| (1) Name | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (2) Ownership or control | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (3) Branch offices | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

2. Explain fully all changes, if any, indicated in item 1.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, and 5.

3. Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name

Position

Date Connection
Ended

4. Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?
 Yes ☐ No ☒

If yes, furnish the following information:

<i>Name</i>	<i>Residence Address</i>	<i>Citizenship</i>	<i>Position</i>	<i>Date Assumed</i>
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5. Has any person named in Item 4 rendered services directly in furtherance of the interests of any foreign principal?
 Yes ☐ No ☐

If yes, identify each such person and describe his services.

Not applicable

6. Have any employees or individuals other than officials, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☐

If yes, furnish the following information:

<i>Name</i>	<i>Position or connection</i>	<i>Date terminated</i>
Jacqueline Wilson	Account Executive	8/28/92

7. During this 6 month reporting period, have any persons been hired as employees or in any other capacity by the registrant who rendered services to the registrant directly in furtherance of the interests of any foreign principal in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

If yes, furnish the following information:

<i>Name</i>	<i>Residence Address</i>	<i>Position or connection</i>	<i>Date connection began</i>
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II—FOREIGN PRINCIPAL

(PAGE 3)

8. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name of foreign principal

Date of Termination

9. Have you acquired any new foreign principal¹ during this 6 month reporting period? Yes ☒ No ☐

If yes, furnish following information:

Name and address of foreign principal

Date acquired

The Austria Trade Commission, 150 E 52 St., NY, NY 10022
Istituto Poligraphico, 10 Piazza Verdi, 00198, Rome, Italy

6/92
9/92

10. In addition to those named in Items 8 and 9, if any, list the foreign principals¹ whom you continued to represent during the 6 month reporting period.

ADERLY-Lyon; Asea Brown Boveri Inc.; Boehringer Intelheim Zentrale; Hudson Soft; Italian Trade Commission; Sedgwick Group

III—ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 8, 9, and 10 of this statement? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

See attached

¹The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual or organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9)).

A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those foreign principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity² as defined below?
Yes ☐ No ☒

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes ☐ No ☒

If yes, describe fully.

²The term "political activities" means the dissemination of political propaganda and any other activity which the person engaging therein believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, persuade, or in any other way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV—FINANCIAL INFORMATION

14. (a) RECEIPTS—MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

See attached

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.³

<i>Date</i>	<i>From Whom</i>	<i>Purpose</i>	<i>Amount</i>
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Total

(b) RECEIPTS—THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁴ other than money from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Name of foreign principal</i>	<i>Date received</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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³A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. See Rule 201(e).
⁴Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) **DISBURSEMENTS—MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 8, 9 and 10 of this statement? Yes ☒ No ☐

(2) transmitted monies to any such foreign principal? Yes ☐ No ☐

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

<i>Date</i>	<i>To Whom</i>	<i>Purpose</i>	<i>Amount</i>
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See attached

Total

15. (b) DISBURSEMENTS—THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value⁵ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in items 8, 9 and 10 of this statement?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Date disposed</i>	<i>Name of person to whom given</i>	<i>On behalf of what foreign principal</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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(c) DISBURSEMENTS—POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value⁵ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Date</i>	<i>Amount or thing of value</i>	<i>Name of political organization</i>	<i>Name of candidate</i>
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V—POLITICAL PROPAGANDA

(Section 1(j) of the Act defines "political propaganda" as including any oral, visual, graphic, written, pictorial, or other communication or expression by any person (1) which is reasonably adapted to, or which the person disseminating the same believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, or in any other way influence a recipient or any section of the public within the United States with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party or with reference to the foreign policies of the United States or promote in the United States racial, religious, or social dissensions, or (2) which advocates, advises, instigates, or promotes any racial, social, political, or religious disorder, civil riot, or other conflict involving the use of force or violence in any other American republic or the overthrow of any government or political subdivision of any other American republic by any means involving the use of force or violence.)

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any political propaganda as defined above? Yes ☐ No ☒

IF YES, RESPOND TO THE REMAINING ITEMS IN THIS SECTION V.

17. Identify each such foreign principal.

⁵Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating political propaganda? Yes ☐ No ☐

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

Not applicable

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of political propaganda include the use of any of the following:

☐ Radio or TV broadcasts ☐ Magazine or newspaper articles ☐ Motion picture films ☐ Letters or telegrams
☐ Advertising campaigns ☐ Press releases ☐ Pamphlets or other publications ☐ Lectures or speeches

Not applicable

☐ Other (specify) .

20. During this 6 month reporting period, did you disseminate or cause to be disseminated political propaganda among any of the following groups:

☐ Public Officials ☐ Newspapers ☐ Libraries
☐ Legislators ☐ Editors ☐ Educational institutions
☐ Government agencies ☐ Civic groups or associations ☐ Nationality groups
☐ Other (specify) . Not applicable

21. What language was used in this political propaganda:

☐ English ☐ Other (specify) - Not applicable

22. Did you file with the Registration Section, U.S. Department of Justice, two copies of each item of political propaganda material disseminated or caused to be disseminated during this 6 month reporting period? Yes ☐ No ☐

Not applicable

23. Did you label each item of such political propaganda material with the statement required by Section 4(b) of the Act? Yes ☐ No ☐ Not applicable

24. Did you file with the Registration Section, U.S. Department of Justice, a Dissemination Report for each item of such political propaganda material as required by Rule 401 under the Act? Yes ☐ No ☐

Not applicable

VI-EXHIBITS AND ATTACHMENTS

25. EXHIBITS A AND B

- (a) Have you filed for each of the newly acquired foreign principals in Item 9 the following:

Exhibit A⁶ Yes ☒ No ☐
Exhibit B⁷ Yes ☒ No ☐

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

⁶The Exhibit A, which is filed on Form CRM-157 (Formerly OBD-67) sets forth the information required to be disclosed concerning each foreign principal.

⁷The Exhibit B, which is filed on Form CRM-155 (Formerly OBD-65) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

26. EXHIBIT C

(PAGE 9)

If you have previously filed an Exhibit C⁸, state whether any changes therein have occurred during this 6 month reporting period. Yes ☐ No ☐

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

27. SHORT FORM REGISTRATION STATEMENT

Have short form registration statements been filed by all of the persons named in Items 5 and 7 of the supplemental statement? Yes ☐ No ☒

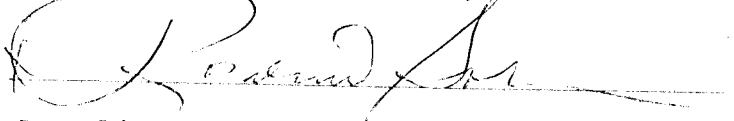
If no, list names of persons who have not filed the required statement.

No new agents for this time period

The undersigned swear(s) or affirm(s) that he has (they have) read the information set forth in this registration statement and the attached exhibits and that he is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in attached Short Form Registration Statement, if any, insofar as such information is not within his (their) personal knowledge.

(Both copies of this statement shall be signed and sworn to before a notary public or other person authorized to administer oaths by the agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions who are in the United States, if the registrant is an organization.)

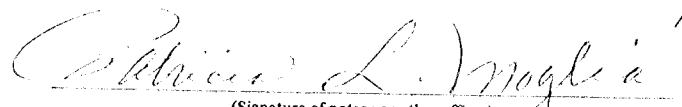
(Type or print name under each signature)


Rosalind Safrin

Subscribed and sworn to before me at New York, New York

this 27th day of October, 19 92

PATRICIA L. MOGLIA
Notary Public, State of New York
No. 41-4848212
Qualified in Queens County
Commission Expires Feb. 17, 1993


(Signature of notary or other officer)

⁸The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, U.S. Department of Justice, Washington, D.C. 20530.)

UNITED STATES DEPARTMENT OF JUSTICE
REGISTRATION UNIT
CRIMINAL DIVISION
WASHINGTON, D.C. 20530

NOTICE

Please answer the following questions and return this sheet in triplicate with your supplemental statement:

1. Is your answer to Item 16 of Section V (Political Propaganda - page 7 of Form CRM-154, formerly Form OBD-64 - Supplemental Statement):

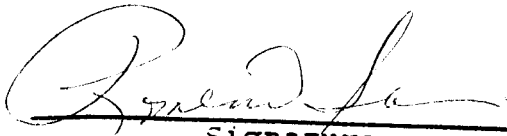
Yes _____ or No XX _____

(If your answer to question 1 is "yes" do not answer question 2 of this form.)

2. Do you disseminate any material in connection with your registration:

Answers vary for each foreign principal
Yes _____ or No _____

(If your answer to question 2 is "yes" please forward for our review copies of all such material including: films, film catalogs, posters, brochures, press releases, etc. which you have disseminated during the past six months.)



Signature

Rosalind Safrin

Please type or print name of signatory on the line above

Executive Vice President

Title

September 27, 1992

Date

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RUDER FINN INCORPORATED
Schedule of Publications on Behalf of
ADERLY-LYON
For Six month period Ending March/September 27, 1992

<u>Description of Publications</u>	<u>By Whom Written, Edited or Prepared</u>	<u>By Whom Printed, Produced or Published</u>	<u>By Whom Distributed</u>
Releases:			
1. Lyon Update Newsletter No. 2 "Olympics"	Ruder Finn	Arnold Taylor Publishing Co. 214 West 29th Street	Ruder Finn Aderly - US
2. Lyon Update Newsletter No. 3 "Financial"	Ruder Finn	Arnold Taylor Publishing Co. 214 West 29th Street	Ruder Finn Aderly - US

Describe fully all activities of Registrant during the period for or in the interest of each foreign principal.

During the six months, Ruder Finn was engaged in the following activities on behalf of Aderly-Lyon:

1. Solicited journalist interest from chemical and pharmaceutical publications.
2. Provided logistical support for journalist trip to Lyon in April 1992 for SCRIP Pharmaceutical News, Chemical Week, Chemical Engineering, Chemical Engineering Progress.
3. Solicited journalist interest from export and trade publications.
4. Provided logistical support for journalist trip to Lyon in June 1992 for Export Today and World Trade Magazine.
5. Provided PR/media advice to the French Trade office for the Lyon Textile Association's Textile Trade Show.

RUDER FINN INCORPORATED
Schedule of Publications on Behalf of
ASEA BROWN BOVERI INC.
For Six month period Ending March/September 27, 1992

<u>Description of Publications</u>	<u>By Whom Written, Edited or Prepared</u>	<u>By Whom Printed, Produced or Published</u>	<u>By Whom Distributed</u>
Releases:			
1. OPSIS Technology	Anne Glauber	BusinessWeek Industry Week Bloomberg News Service	Anne Glauber
2. MTBE Methyl-Tert- Butyl-Ether CD Technology	Anne Glauber	Fortune	Anne Glauber
3. Satellite Imaging	Anne Glauber	Chattanooga, Tennessee	Peter Collins

Describe fully all activities of Registrant during the period for or in the interest of each foreign principal.

During the six months, Ruder Finn was engaged in the following activities on behalf of Asea Brown Boveri Inc.:

1. Media relations on emerging technologies.
2. Development of Media Resource Guide to describe company for journalists.
3. By-line article for CEO in Industry Week.
4. General consulting on public relations.

RUDER·FINN, INC.
 Schedule of Publications on Behalf of
 Boehringer Ingelheim GmbH
 For Six Month Period Ending September 27, 1992

<u>Description of Publications</u>	<u>By Whom Written, Edited or Prepared</u>	<u>By Whom Printed, Produced or Published</u>	<u>By Whom Distributed</u>
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Releases

None

Articles for publication in <u>Lung & Respiration</u>	Erica Kaplan Roselyn Hirsch	pmi Verlag GmbH Frankfurt, Germany	pmi Verlag GmbH Frankfurt, Germany
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Cost-effective analysis
of bronchodilators

Inhibition of natural
anti-inflammatory mechanisms
by beta₂-agonists as an
explanation of the asthmatic
paradox

Treatment of chronic
obstructive pulmonary disease

Peak flow measurements
provide simple assessment
of treatment

Exercise tests and allergen
challenges are of limited value

Asthma patients can be taught
to be better perceivers of
symptoms

Describe fully all activities of Registrant during the period for or in the interest of each foreign principal.

During the six months, Ruder Finn Incorporated was engaged in the following activities on behalf of Boehringer Ingelheim GmbH:

1. Preparation of copy for Lung & Respiration.
2. General public relations counseling.

RUDER FINN INCORPORATED
Schedule of Publications on Behalf of
Hudson Soft
For Six month period Ending March/September 27, 1992

<u>Description of Publications</u>	<u>By Whom Written, Edited or Prepared</u>	<u>By Whom Printed, Produced or Published</u>	<u>By Whom Distributed</u>
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Releases:

none

Describe fully all activities of Registrant during the period for or in the interest of each foreign principal.

During the six months, Ruder Finn was engaged in the following activities on behalf of Hudson Soft:

1. Producing a monthly report monitoring U.S. media re: video game stories. (For company use.)
2. Producing a marketing report on U.S. video game industry. (For company use.)
3. Producing a report on pending video game lawsuits. (For company use.)

RUDER FINN INCORPORATED
Schedule of Publications on Behalf of
(client name) ITC
For Six month period Ending March/September 27, 1992

<u>Description of Publications</u>	<u>By Whom Written, Edited or Prepared</u>	<u>By Whom Printed, Produced or Published</u>	<u>By Whom Distributed</u>
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Releases:

1.	ITC Announces H. Pace Wine Program	Beverage Trade Publications	Ruder Finn
2.			
3.			
4.			
5.			
6.			
7.			
8.			
9.			
10.			
11.			
12.			
13.			
14.			
15.			
16.			
17.			
18.			
19.			

Describe fully all activities of Registrant during the period for or in the interest of each foreign principal.

During the six months, Ruder Finn was engaged in the following activities on behalf of (name of client):

1. Coordinated and publicized wine seminars and tastings in 12 U.S. Cities. Managed 30 importers and approximately 250 Italian Wines at each event.
- 2.
- 3.
- 4.
- 5.
- 6.
- 7.
- 8.
- 9.
- 10.
- 11.
- 12.
- 13.
- 14.
- 15.
- 16.
- 17.
- 18.
- 19.
- 20.
- 21.

RUDER FINN INCORPORATED
Schedule of Publications on behalf of
Sedgwick Group plc
For Six Month Period Ending September 27, 1992

<u>Description of Publication</u>	<u>By Whom Written, Edited or Prepared</u>	<u>By Whom Printed, Produced or Published</u>	<u>By Whom Distributed</u>
1. Annual Report 1991	Sedgwick Group	Sedgwick Group	Ruder Finn/Sedgwick

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92 OCT 28 PM 3:54

RUDER FINN INCORPORATED
1001 CENTRE STREET, SUITE 1000
ANN ARBOR, MICHIGAN 48106-1500

Describe fully all activities of Registrant during the period for or in the interest of each foreign principal.

During the six months, Ruder Finn was engaged in the following activities on behalf of Sedgwick Group plc:

1. Monitored opinions among professional investors and media regarding the insurance broking industry.
2. Targeted investors with whom Sedgwick Group management should meet in the future.
3. Counseled Sedgwick management on communications strategy in the U.S.
4. Disseminated copies of the Sedgwick Group 1991 Annual Report to professional investors interested in the company and/or the insurance broking industry.

RUDER FINN, INC.

AMOUNTS RECEIVED FROM ADERLY LYON

FOR THE SIX MONTH PERIOD ENDED 09/27/92

DATE FUNDS RECEIVED	NAME OF FOREIGN PRINCIPAL FROM WHOM RECEIVED	PURPOSE	AMOUNT
04/15/92	ADERLY LYON	EXPENSES	1,337.13
04/15/92	ADERLY LYON	EXPENSES	3,380.88
04/22/92	ADERLY LYON	FEE	5,625.00
05/11/92	ADERLY LYON	EXPENSES	2,593.62
08/04/92	ADERLY LYON	FEE	5,625.00
08/04/92	ADERLY LYON	FEE	5,625.00
08/04/92	ADERLY LYON	FEE	5,625.00
08/31/92	ADERLY LYON	EXPENSES	4,948.82
08/31/92	ADERLY LYON	FEE	5,625.00
09/21/92	ADERLY LYON	EXPENSES	9,602.71
TOTAL FUNDS RECEIVED			49,988.16

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FEDERAL RESERVE BANK
ATLANTA, GA 30333